

CLIENT COMPLAINT POLICY

Clients wishing to make a complaint should do so immediately by following the procedure described in the "Complaints Handling Policy & Procedure" outlined herein.

Upon receipt of a complaint, **ROYAL ETP LLC** will send an initial response to the client within five (5) business days, confirming receipt of the complaint.

A valid client complaint must include the following information:

- Full name of the client
- Client's trading account number
- Affected transaction numbers, if applicable
- Date and time the issue arose
- A detailed description of the issue

ROYAL ETP LLC reserves the right to dismiss any complaint that does not comply with the above requirements. During the investigation, **ROYAL ETP LLC** may suspend the client's trading account until the issue is resolved.

ROYAL ETP LLC will investigate the complaint and respond within two (2) months with the outcome of our decision. If a response cannot be provided within two (2) months, **ROYAL ETP LLC** will inform the client of the reason for the delay and provide an estimated timeframe for resolution.

In the event of a dispute related to a transaction or communication, **ROYAL ETP LLC** may, at its sole discretion and without prior notice, close the disputed transaction(s) to limit potential losses. This action does not affect **ROYAL ETP LLC**'s right to argue whether the transaction was valid or ever executed. Reasonable efforts will be made to notify the client after such action has been taken.

ROYAL ETP LLC reserves the exclusive right to determine the method of indemnification in response to a complaint.

Complaints related to matters not covered in this Policy will be resolved in accordance with common market practices and at the sole discretion of **ROYAL ETP LLC**.

The Client is entitled to file a complaint with The Financial Commission to resolve a dispute that arises with OneRoyal if this complaint has not been resolved through the Company's internal dispute resolution process within the time mentioned above.

The Procedure for handling complaints and disputes before The Financial Commission is described on their website (www.financialcommisson.org).